

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 74451.P106

Total Pages 3

First Named Inventor or Application Identifier Kurt W. Piersol

Express Mail Label No. EL348719602US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Specification (Total Pages 32)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. Drawings(s) (35 USC 113) (Total Sheets 9)
4. Oath or Declaration (Total Pages 5)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. Computer Readable Copy
b. Paper Copy (identical to computer copy)
c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & documents(s))
9. a. 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
10. English Translation Document (if applicable)
11. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
12. Preliminary Amendment
13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. a. Small Entity Statement(s)
 b. Statement filed in prior application, Status still proper and desired
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Other: _____

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
of prior application No: _____

18. **Correspondence Address**

Customer Number or Bar Code Label _____
(Insert Customer No. or Attach Bar Code Label here)
or

Correspondence Address Below

NAME Michael J. Mallie Reg. No. 36,591

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8598 FAX (408) 720-9397

12/01/97

- 2 -

PTO/SB/05 (12/97)

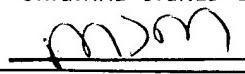
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ORIGINAL SIGNED BY

Dated: _____, 1999

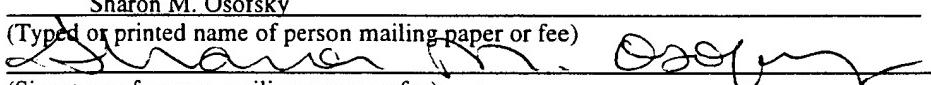
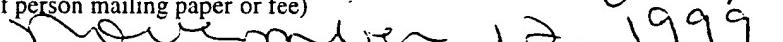

Michael J. Mallie
Reg. No. 36,591

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL348719602US

Date of Deposit: November 12, 1999

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Sharon M. Osofsky
(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

(Date signed)

FEE TRANSMITTAL FOR FY 1999

TOTAL AMOUNT OF PAYMENT (\$) 856.00

Complete if Known:

Application No. Not Yet Assigned

Filing Date Not Yet Assigned

First Named Inventor Kurt W. Piersol

Group Art Unit Not Yet Assigned

Examiner Name Not Yet Assigned

Attorney Docket No. 74451.P106

Attorneys' Descriptive

METHOD OF PAYMENT (check one)

1. [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. X Payment Enclosed:
X Check
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>		
Fee	Fee	Fee	Fee		
Code	(\$)	Code	\$)		
101	760	201	380	Utility application filing fee	760.00
106	310	206	155	Design application filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	

SUBTOTAL (1) \$ 760.00

2. EXTRA CLAIM FEES

<u>EXTRA CLAIM FEES</u>		<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>21</u>	- 20** = <u>1</u>	X <u>18</u>	= <u>18.00</u>
Independent Claims	<u>4</u>	- 3** = <u>1</u>	X <u>78</u>	= <u>78.00</u>
Multiple Dependent				=

****Or number previously paid, if greater: For Reissues, see below.**

<u>Large Entity</u>		<u>Small Entity</u>		
Fee	Fee	Fee	Fee	<u>Fee Description</u>
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 96.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	Surcharge - late filing fee or oath
127	50	227	Surcharge - late provisional filing fee or cover sheet
139	130	139	Non-English specification
147	2,520	147	For filing a request for reexamination
112	920*	112	Requesting publication of SIR prior to Examiner action
113	1,840*	113	Requesting publication of SIR after Examiner action
115	110	215	Extension for response within first month
116	380	216	Extension for response within second month
117	870	217	Extension for response within third month
118	1,360	218	Extension for response within fourth month
128	1,850	228	Extension for response within fifth month
119	300	219	Notice of Appeal
120	300	220	Filing a brief in support of an appeal
121	260	221	Request for oral hearing
138	1,510	138	Petition to institute a public use proceeding
140	110	240	Petition to revive unavoidably abandoned application
141	1,210	241	Petition to revive unintentionally abandoned application
142	1,210	242	Utility issue fee (or reissue)
143	430	243	Design issue fee
144	580	244	Plant issue fee
122	130	122	Petitions to the Commissioner
123	50	123	Petitions related to provisional applications
126	240	126	Submission of Information Disclosure Stmt
581	40	581	Recording each patent assignment per property (times number of properties)
146	760	246	For filing a submission after final rejection (see 37 CFR 1.129(a))
149	760	249	For each additional invention to be examined (see 37 CFR 1.129(a))

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:	ORIGINAL SIGNED BY
Typed or Printed Name:	<u>Michael J. Mallie</u>
Signature	Date _____
Reg. Number <u>36,591</u>	Deposit Account User ID <u>02-2666</u> (complete if applicable)

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number:

Date of Deposit: 11-12-99 EL 348719602US

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

(Date signed) 11-12-99

Serial/Patent No.: * * * *

Filing/Issue Date: * * * *

Client: Ricoh

Title: **SYSTEM FOR CAPTURING FACSIMILE DATA IN AN ELECTRONIC DOCUMENT MANAGEMENT SYSTEM**

BSTZ File No.: 74451.P106

Atty/Secty Initials: MJM/sm

Date Mailed: November 12, 1999

Docket Due Date: * * * *

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (____ pgs.)
- Appeal Brief (____ pgs.) (in triplicate)
- Application - Utility (32 pgs., with cover and abstract)
- Application - Rule 1.53(b) Continuation (____ pgs.)
- Application - Rule 1.53(b) Divisional (____ pgs.)
- Application - Rule 1.53(b) CIP (____ pgs.)
- Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
- Application - Design (____ pgs.)
- Application - PCT (____ pgs.)
- Application - Provisional (____ pgs.)
- Assignment and Cover Sheet

Certificate of Mailing

Declaration & POA (5 pgs.) (Unsigned)

Enclose Docs & Orig & Copy of Inventor's Signed Loyer (____ pgs.)

Drawings: 9 # of sheets includes 9 figures

- Express Mail No. EL 348719602US Check No. 31636
- Month(s) Extension of Time Amr. \$856.00
- Information Disclosure Statement & PTO 149 (____ pgs.) Check No. _____ Amr. _____
- Issue Fee Transmittal
- Notice of Appeal
- Petition for Extension of Time
- Petition for _____
- Postcard
- Power of Attorney (____ pgs.)
- Preliminary Amendment (____ pgs.)
- Reply Brief (____ pgs.)
- Response to Notice of Missing Parts
- Small Entity Declaration for Indep. Inventor/Small Business
- Transmittal Letter ~~XXXXXX~~
- Fee Transmittal, in duplicate

Other: _____